The ICSID Caseload— Statistics

Issue 2024 - 1



The ICSID Caseload – Statistics

(Issue 2024-1)

This issue of the ICSID Caseload – Statistics updates the profile of the ICSID caseload, historically (since the registration of the first case in 1972) and for the calendar year 2023 (CY2023). It is based on cases registered or administered by ICSID as of December 31, 2023.

This report contains data on:

- the cases registered under the ICSID Convention and the Additional Facility Rules;
- the cases administered by ICSID;
- the basis of consent invoked for ICSID jurisdiction;
- the geographic distribution of ICSID cases;
- the economic sectors involved in ICSID cases;
- the outcomes of ICSID arbitration and conciliation proceedings, including information on disputes decided by arbitral tribunals, data on discontinued ICSID arbitration proceedings, and outcomes to date in annulment proceedings under the ICSID Convention; and
- the nationalities, geographic origins and gender of arbitrators, conciliators and ad hoc committee members appointed in ICSID cases.

The ICSID Caseload – Statistics is published bi-annually. ICSID welcomes comments or suggestions on the report by email at ICSIDsecretariat@worldbank.org.

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1. ICSID Member States

As of December 31, 2023, there were 165 Signatory and Contracting States to the ICSID Convention. ICSID had 158 Contracting States (shown below in blue) and 7 Signatory States to the ICSID Convention (shown below in yellow).



A database of ICSID Member States, including the dates of signature, ratification and entry into force of the ICSID Convention is available at https://icsid.worldbank.org/about/member-states.

ICSID facilities and expert services are available to Member States and their nationals, who obtain access to investment dispute settlement under the ICSID Convention and the Additional Facility. ICSID services may also be available to non-Members, as described in section 3 below.

2. Cases Registered by ICSID

As of December 31, 2023, there were 967 arbitration and conciliation cases registered under the ICSID Convention and Additional Facility Rules.

Chart 1 shows the number of ICSID cases registered in CY2023. Chart 2 shows a breakdown of all ICSID cases registered by applicable rules. Chart 3 shows the total number of ICSID cases registered by calendar year. Finally, Chart 4 shows a breakdown of ICSID cases registered by calendar year and applicable rules.

For further details on registered cases, see the online database of ICSID cases at https://icsid.worldbank.org/cases/case-database.

Chart 1: New ICSID Cases Registered in CY2023

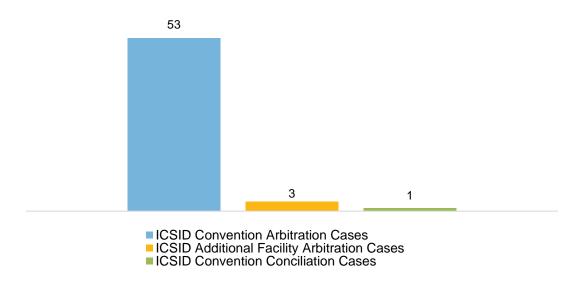
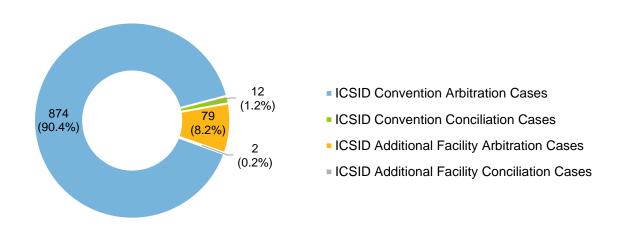


Chart 2: All ICSID Cases Registered by Applicable Rules



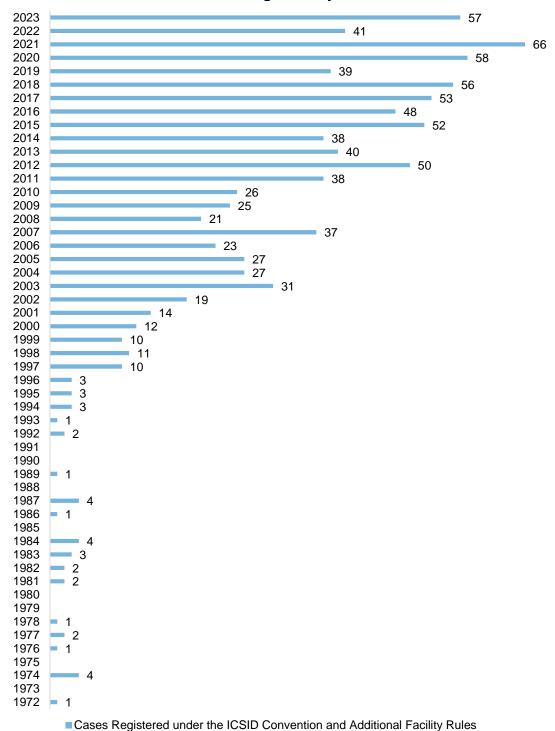


Chart 3: ICSID Cases Registered by Calendar Year

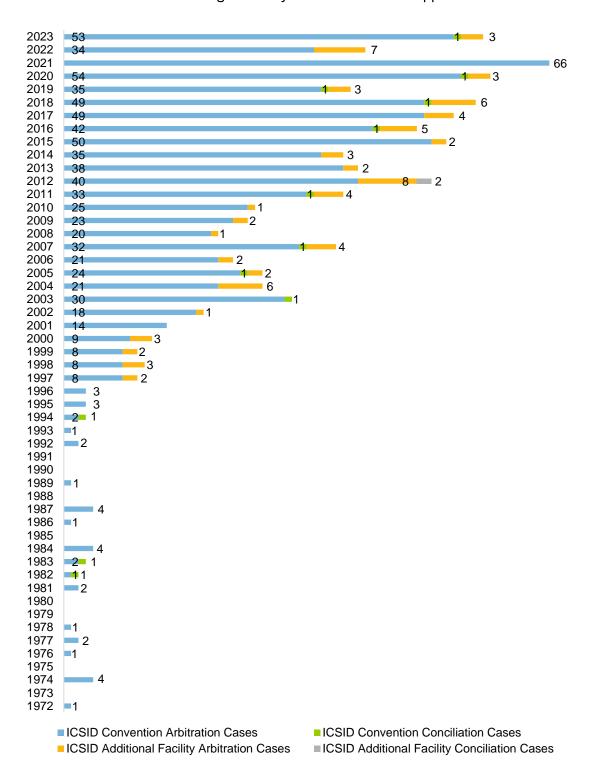


Chart 4: ICSID Cases Registered by Calendar Year and Applicable Rules

3. Cases Administered by ICSID

In addition to administering proceedings under the ICSID rules, the Centre is also available to administer proceedings conducted under other rules, such as the UNCITRAL Arbitration Rules and ad hoc investor-State and State-State cases.

The services provided in non-ICSID cases range from limited assistance with the organization of hearings and management of the case finances to full secretariat services in the administration of the case. The ICSID Secretary-General also serves as appointing authority and decides proposals for disqualification of arbitrators, upon request.

Chart 5 shows the number of non-ICSID cases administered by the ICSID Secretariat in CY2023. Chart 6 shows the distribution of UNCITRAL and other non-ICSID cases administered by the Centre over the past ten fiscal years. Finally, Chart 7 concerns the total number of cases administered by the ICSID Secretariat, under ICSID and non-ICSID rules, over the past ten fiscal years.

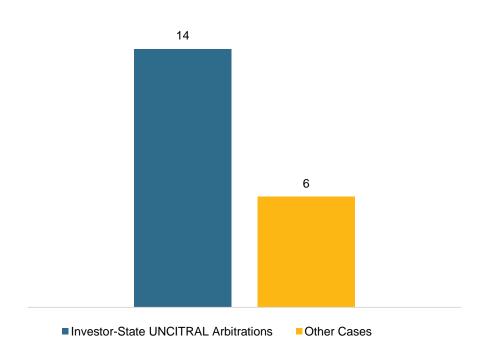


Chart 5: Non-ICSID Cases Administered by the ICSID Secretariat in CY2023

Chart 6: Non-ICSID Cases Administered over the Past Ten Fiscal Years

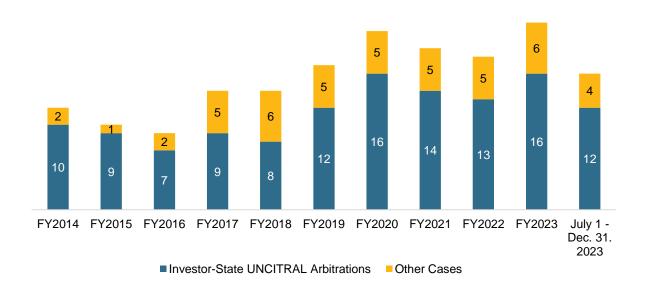
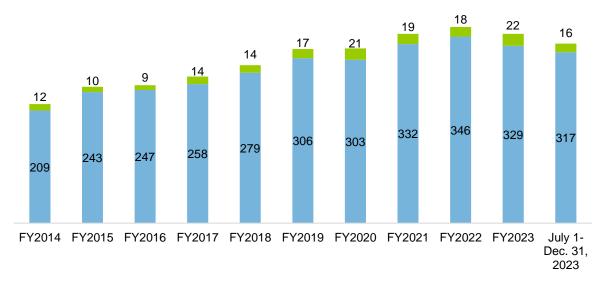


Chart 7: ICSID and Non-ICSID Cases Administered over the Past Ten Fiscal Years



■Non-ICSID Cases Administered by the Secretariat (Investor-State UNCITRAL arbitrations and other cases)

■ICSID Cases Administered by the Secretariat

4. Basis of Consent Invoked to Establish ICSID Jurisdiction

Chart 8 shows the bases of consent invoked to establish ICSID jurisdiction in cases registered under the ICSID Convention and the Additional Facility Rules in CY2023. **Chart 9** provides the same information with respect to all ICSID cases.

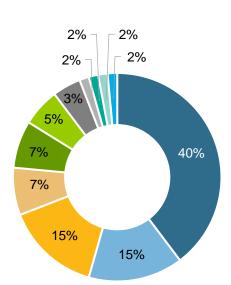


Chart 8: Basis of Consent Invoked in ICSID Cases Registered in CY2023

- BITs
- NAFTA
- United States-Mexico-Canada Agreement
- ECT
- Contracts
- Investment Laws
- CAFTA-DR
- Mexico-Peru Free Trade Agreement
- Mexico, Costa Rica, El Salvador, Guatemala, Honduras and Nicaragua FTA
- Comprehensive and Progressive Agreement for Trans-Pacific Partnership
- Central America-Panama Free Trade Agreement

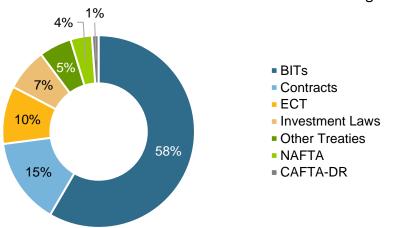


Chart 9: Basis of Consent Invoked in All ICSID Cases Registered

The categories above correspond to bilateral investment treaties (BITs), investment contracts between investors and host-States, the Energy Charter Treaty (ECT), investment laws of host-States, the North American Free Trade Agreement (NAFTA), and the Dominican Republic-U.S.-Central America Free Trade Agreement (CAFTA-DR).

The "Other Treaties" category includes a variety of biliateral and multilateral agreements with an ICSID dispute settlement provision.

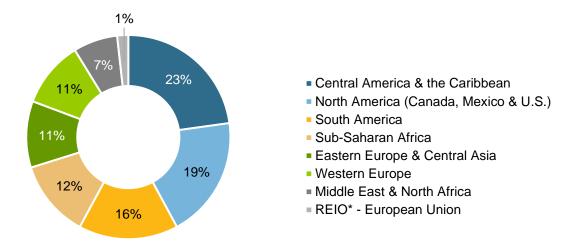
For a complete list of instruments invoked as basis of consent to establish jurisdiction in ICSID cases, visit our website at https://icsid.worldbank.org/cases/case-database.

5. Geographic Distribution of ICSID Cases

Chart 10 below shows the geographic distribution,¹ by State Party involved, of cases registered under the ICSID Convention and the Additional Facility Rules in CY2023. Chart 11 provides further details concerning the State Parties involved in ICSID Cases registered in CY2023. Finally, Chart 12 shows the geographic distribution, by State Party involved, of all ICSID Cases registered.

¹ The classification of the geographic regions is based on the World Bank's regional system, available at https://www.worldbank.org/en/where-we-work, and also includes World Bank donor countries.

Chart 10: Distribution of ICSID Cases Registered in CY2023, by State Party or Regional Economic Integration Organization (REIO) Involved



^{*} A REIO may be a party to proceedings under the 2022 ICSID Additional Facility Rules and Regulations or under the 2022 ICSID Mediation Rules and Regulations.

Chart 11: ICSID Cases Registered in CY2023, by State Party or Regional Economic Integration Organization Involved – Further Details

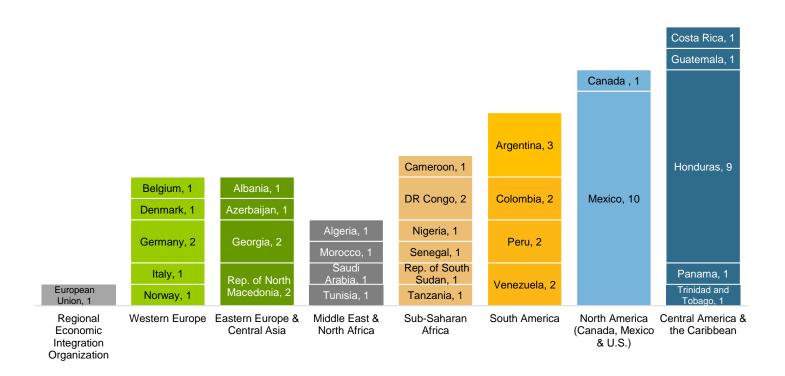
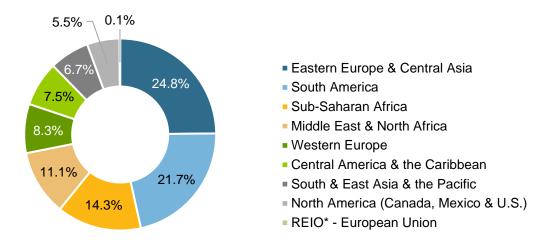


Chart 12: Distribution of All ICSID Cases Registered, by State Party or Regional Economic Integration Organization (REIO) Involved

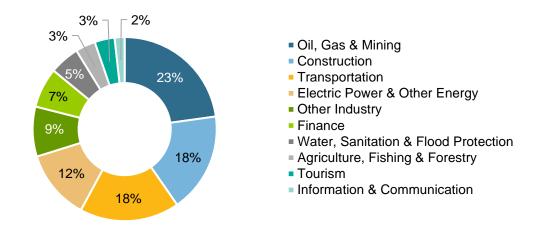


^{*} A REIO may be a party to a proceeding under the 2022 ICSID Additional Facility Rules and Regulations or under the 2022 ICSID Mediation Rules and Regulations.

6. Economic Sectors Involved in ICSID Cases

Chart 13 shows the distribution, by economic sector,² of cases registered under the ICSID Convention and the Additional Facility Rules in CY2023. **Chart 14** provides the same information with respect to all registered ICSID cases.

Chart 13: Distribution of ICSID Cases Registered in CY2023, by Economic Sector



² The economic sector classification is based on the World Bank's sector codes, available at https://projects.worldbank.org/en/projects-operations/project-sector.

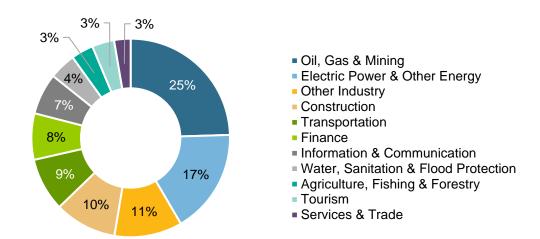


Chart 14: Distribution of All ICSID Cases Registered, by Economic Sector

7. ICSID Arbitration Proceedings – Outcomes

Chart 15 shows the distribution of disputes decided by tribunals and those settled or otherwise discontinued in arbitration proceedings under the ICSID Convention and the Additional Facility Rules ("ICSID Arbitrations") which concluded in CY2023. Chart 16 provides the same information with respect to all concluded ICSID Arbitrations.

Chart 17 provides a breakdown of ICSID Arbitrations concluded in CY2023 in which the disputes were decided by tribunals, while Chart 18 provides the same information with respect to all concluded ICSID Arbitrations.

Finally, Chart 19 contains a breakdown of ICSID Arbitrations concluded in CY2023 in which the disputes were settled or the proceeding was otherwise discontinued, while Chart 20 shows the same breakdown with respect to all concluded ICSID Arbitrations.

Chart 15: ICSID Arbitrations Concluded in CY2023 - Outcomes



Chart 16: All Concluded ICSID Arbitrations - Outcomes



Chart 17: ICSID Arbitrations Concluded in CY2023 - Disputes decided by tribunals

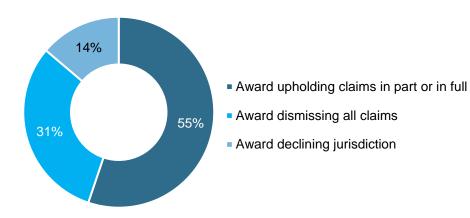


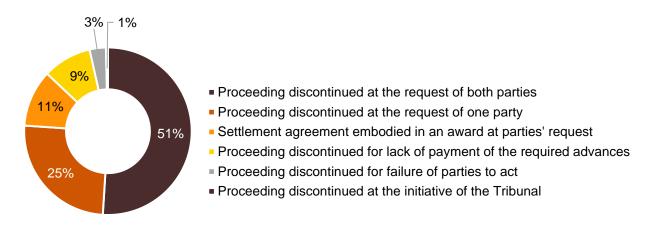
Chart 18: All Concluded ICSID Arbitrations - Disputes decided by tribunals



Chart 19: ICSID Arbitrations Concluded in CY2023 - Settled or Otherwise Discontinued



Chart 20: All Concluded ICSID Arbitrations - Settled or Otherwise Discontinued



The above categories correspond to the following ICSID Convention Arbitration Rules (AR) and ICSID Arbitration Additional Facility Rules (AFR):

- (1) Proceeding discontinued at the request of both parties: AR 43(1) (2006), AR 55(1) (2022), AFR 49(1) (2006), and AFR 65(1) (2022).
- (2) Proceeding discontinued at the request of one party: AR 44 (2006), AR 56 (2022), AFR 50 (2006), and AFR 66 (2022).
- (3) Proceeding discontinued for lack of payment of the required advances: ICSID Administrative & Financial Regulation 14(3)(d) (2006) and ICSID Administrative & Financial Regulation 8(2)(c) (2022).
- (4) Settlement agreement embodied in an award at parties' request: AR 43(2) (2006), AR 55(2)(a) (2022), AFR 49(2) (2006), and AFR 65(2)(b) (2022).
- (5) Proceeding discontinued for failure of parties to act: AR 45 (2006), AR 57 (2022), AFR 51 (2006), and AFR 67 (2022).
- (6) Proceeding discontinued at the initiative of the tribunal: Article 44 of the ICSID Convention.

8. ICSID Conciliation Proceedings - Outcomes

Chart 21 below shows the outcomes of all conciliation proceedings concluded under the ICSID Convention and the Additional Facility Rules ("ICSID Conciliations"). Chart 22 shows a breakdown of the type of commission reports issued in all concluded ICSID Conciliations.

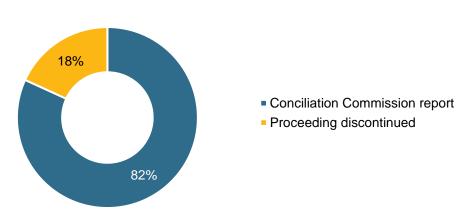
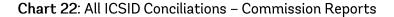
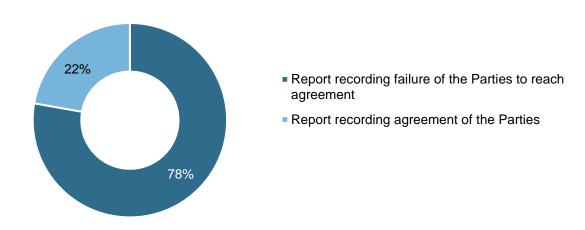


Chart 21: All ICSID Conciliations - Outcomes





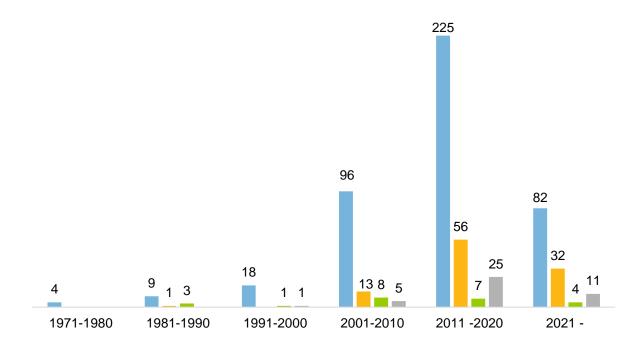
9. ICSID Annulment Proceedings - Outcomes

Annulment is an exceptional recourse provided in Article 52 of the ICSID Convention to safeguard against the violation of fundamental legal principles relating to the arbitral process conducted under the ICSID Convention.

The chart below shows the distribution of awards rendered under the ICSID Convention and the outcomes of ICSID annulment proceedings, by decade.

Since the first ICSID case was registered in 1972 until the end of CY2023, 434 awards were rendered under the ICSID Convention, 102 decisions of *ad hoc* committees rejected applications for annulment, 23 decisions of *ad hoc* committees annulled the award (16 in part and 7 in full), and 42 annulment proceedings were discontinued.

Chart 23: Awards Rendered and Outcomes in Annulment Proceedings under the ICSID Convention, by Decade



- Number of ICSID Convention awards rendered
- Number of decisions rejecting the application for annulment
- Number of decisions annulling the award in part or in full
- Number of annulment proceedings discontinued

10. Appointments made to ICSID Cases

Charts 24 through 33 below provide statistical information concerning the appointments of arbitrators, conciliators and *ad hoc* committee members to cases registered under the ICSID Convention and Additional Facility Rules ("Appointments").

The term "Appointments by ICSID" encompasses appointments made by the ICSID Secretary-General or the Chair of the Administrative Council (based on agreement of the parties or the applicable default provisions). "Appointments by the Parties" refers to appointments made by claimants, respondents, parties jointly, as well as appointments made by party-appointed arbitrators or conciliators.

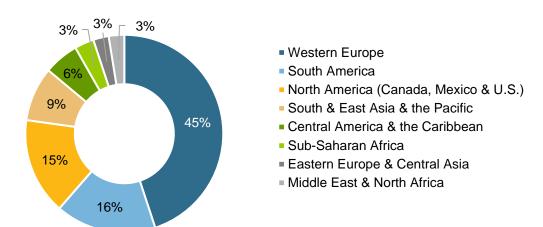


Chart 24: Distribution of Appointments Made in CY2023, by Geographic Region

Chart 25: Distribution of All Appointments, by Geographic Region

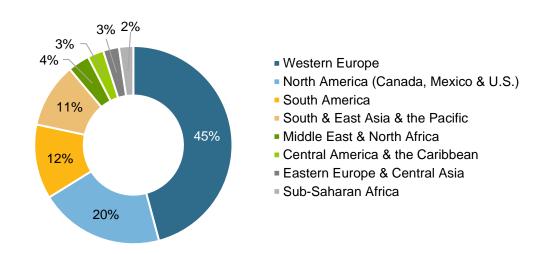


Chart 26: Appointments Made in CY2023 by ICSID and by the Parties, by Geographic Region

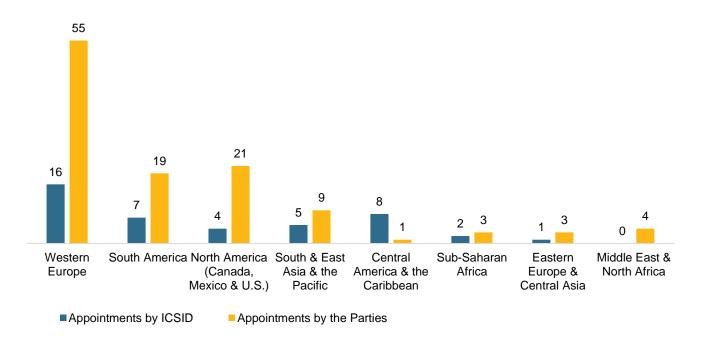


Chart 27: All Appointments Made by ICSID and by the Parties, by Geographic Region

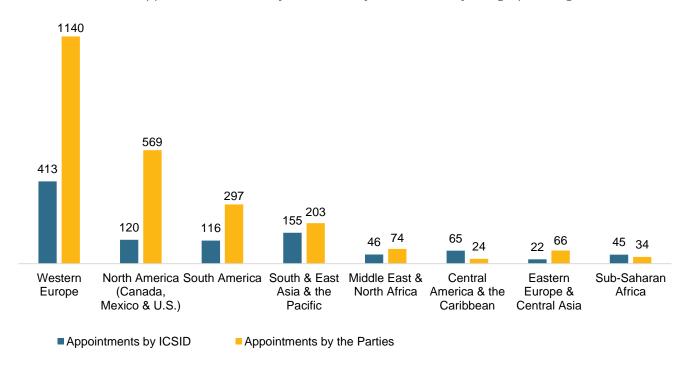


Chart 28: Nationalities of Individuals Appointed in ICSID Cases in CY2023, by Number of Appointments

Nationality(ies)	As of December 31, 2023
United States of America	13
France	11
Argentina	8
Switzerland	8
Germany	7
Italy	7
Spain	7
United Kingdom	7
Australia	6
Canada	5
Bahamas	4
Belgium	4
Mexico	4
Bulgaria	3
Colombia	3
Costa Rica	3
Ireland	3
Argentina / Brazil	2
Argentina / Spain	2
Bahrain / France / Sweden	2
Chile	2
Finland	2
France / Panama	2
Germany / Mexico	2
Italy / United Kingdom	2
Kenya	2
Korea, Rep. of	2
Netherlands	2
Peru / Switzerland	2
Argentina / Brazil	1
Argentina / Italy	1

Nationality(ies)	As of December 31, 2023
Australia / Ireland	1
Australia / Switzerland	1
Brazil	1
Brazil / Switzerland	1
Canada / Ecuador	1
Canada / France / Lebanon	1
Canada / Italy	1
Canada / New Zealand	1
Chile / El Salvador / Italy	1
Chilean / Lebanese	1
Colombia / Ecuador	1
Congo, Rep. / France	1
Cyprus / United Kingdom	1
Egypt	1
Egypt / France	1
France / Mauritius / United Kingdom	1
Germany / Ireland	1
Greece / United States of America	1
Ireland / United States of America	1
Japan	1
Latvia	1
New Zealand	1
Nigeria	1
Pakistan	1
Peru	1
Portugal	1
Saint Kitts and Nevis / Venezuela	1
Singapore	1
Spain / United Kingdom	1

In CY2023, individuals of 44 nationalities were represented amongst the appointments made to ICSID cases. Ten percent of appointments involved individuals appointed for the first time to an ICSID case. Thirty-one percent of first-time appointees in CY2023 were women and 50% involved nationals of low- or middle-income economies.

Chart 29: Nationalities of Appointees to All ICSID Cases Registered, by Number of Appointments

Nationality(ies)	As of December 31, 2023
United States of America	326
France	317
United Kingdom	279
Canada	181
Switzerland	165
Spain	151
Germany	127
Australia	121
Argentina	109
Mexico	97
Italy	88
Belgium	82
Chile	75
Netherlands	66
New Zealand	61
Bulgaria	59
Colombia	57
Costa Rica	43
Egypt	41
Singapore	41
Sweden	37
Austria	33
France / Sweden	32
Argentina / Spain	27
Canada / New Zealand	26
Finland	26
France / United Kingdom	26
Brazil	21
Pakistan Brazil / Switzerland	20 19
Korea, Rep.of	19
Canada / United Kingdom	18
Guatemala	18
China	15
France / Iran	15

	As of
Nationality(ies)	December 31, 2023
France / Switzerland	15
Peru	15
France / Lebanon	14
Germany / Ireland	14
Slovak Republic	14
Australia / Ireland	13
Bahamas	13
Bangladesh	13
Malaysia	13
Morocco	13
Nigeria	12
Portugal	12
Argentina / United States of America	11
Austria / Germany	11
Greece	11
Nigeria / United Kingdom	11
Philippines	11
Italy / United Kingdom	10
Switzerland / United States of America	10
Uruguay	10
Colombia / France	9
Denmark	9
Germany / Mexico	9
Venezuela	9
Ireland / United States of America	8
Peru / Switzerland	8
Somalia	8
United Kingdom / United States of	0
America	8 7
Argentina / Portugal Ecuador	7
France / United States of America	7
Ireland	7
Senegal	7
Thailand	7
Canada / Lebanon	6

	As of		
Nationality(ies)	December		
	31, 2023		
India	6		
Ireland / Switzerland	6		
New Zealand / United Kingdom	6		
Paraguay	6		
Chile / Lebanon	5		
Guyana	5		
Algeria	4		
Barbados	4		
Canada / Italy	4		
Cyprus	4		
France / Mauritius / United Kingdom	4		
Iran, Islamic Rep. of	4		
Japan	4		
Kenya	4		
Latvia	4		
Argentina / Brazil	3		
Burundi	3		
Cameroon	3		
Canada / Ecuador	3		
Chile / El Salvador / Italy	3		
Czechia	3		
Honduras	3		
Israel	3		
Jamaica	3		
Jordan	3		
Saint Kitts and Nevis / Venezuela	3		
Serbia	3		
South Africa	3		
Togo	3		
Bahrain / France / Sweden	2		
Benin	2		
Botswana	2		
Canada / United States of America	2		
Chile / United States of America	2		
Colombia / Ecuador	2		
France / Mauritius	2		
France / Panama			
<u>'</u>	2		
France / Syria			
Gabon	2		
Germany / United Kingdom	2		
Ghana / United Wingdom	2		
Iran / United Kingdom	2		
Lebanon	2		
Madagascar	2		

Nationality(ies)	As of December
M.L.	31, 2023
Malawi	2
Malta / South Africa	2
New Zealand / United States of	
America	2
Pakistan / United Kingdom	2
Spain / United Kingdom	2
Algeria / France	1
Argentina / Italy	1
Australia / Germany	1
Australia / Switzerland	1
Belgium / United Kingdom	1
Belgium / United States of America	1
Bulgaria / United States of America	1
Cabo Verde	1
Canada / France / Lebanon	1
Canada / Switzerland	1
Central African Republic	1
Congo, Rep. / France	1
Croatia	1
Cyprus / United Kingdom	1
Czechoslovakia	1
Dominica	1
Dominican Republic	1
Ecuador / France / Lebanon	1
Egypt / France	1
Egypt / United States of America	1
El Salvador	1
	1
France / New Zealand	1
France / Uruguay	1
Ghana / United Kingdom	
Greece / United States of America	1
Israel / Switzerland	1
Luxembourg	1
Mexico / United States of America	1
Norway	1
Pakistan / United States of America	1
Romania	1
Slovenia	1
Sri Lanka	1
Sudan	1
Türkiye	1
Uganda / United Kingdom	1
Zambia	1

Chart 30: Appointments Made in CY2023, by Gender

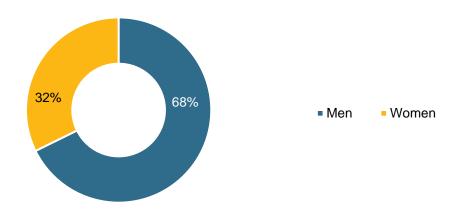
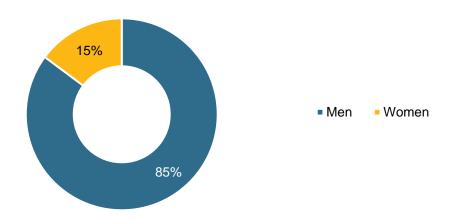


Chart 31: Appointments Made in All ICSID Cases, by Gender



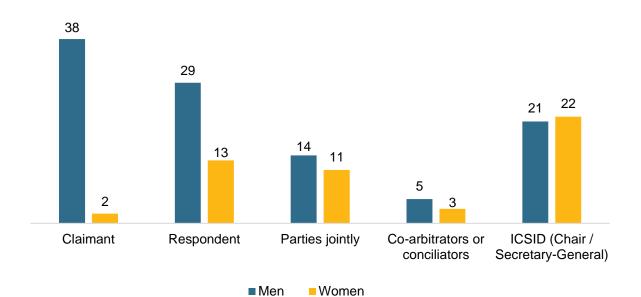
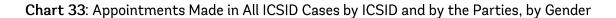
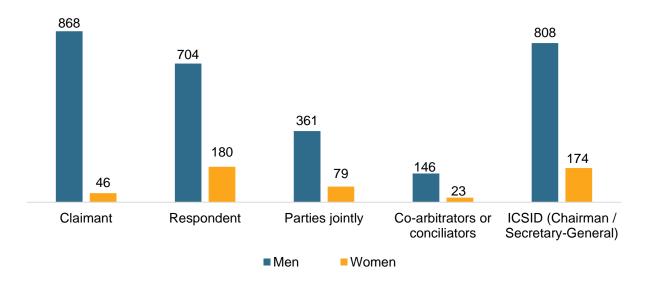


Chart 32: Appointments Made in CY2023 by ICSID and by the Parties, by Gender







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